1	PROGRAMMATIC AGREEMENT
2	among
3	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
4	and
5	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
6	and
7	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
8	ENTITIES
9	and
10	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
11	ENTITIES ENTITIES
12	and
13	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
14	for
15	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
16	24 CFR PART 50 and PART 58
17	in
18	THE STATE OF NEVADA
19	
20	WHEREAS, the U.S. Department of Housing and Urban Development ("HUD") through various
20	offices, including the Offices of the Assistant Secretaries for Housing—Federal Housing
22	Commissioner (Housing), Public and Indian Housing (PIH), Community Planning and
23	Development (CPD), and Office of Healthy Homes (OHH) provides grant funding, mortgage
24	insurance, and other assistance ("HUD Programs") to a range of entities within the State of
25	Nevada; and
23	
26	WHEREAS, HUD Programs are authorized by various statutes that require environmental
27	compliance under one of two HUD environmental regulations, 24 CFR Part 50 or 24 CFR Part 58;
28	and
29	WHEREAS, 24 CFR Part 50 requires HUD program officials to conduct environmental review of
30	its activities and ensure compliance with Section 106 of the National Historic Preservation Act
31	of 1966 (hereinafter NHPA; 54 U.S.C. § 306108), and its implementing regulations 36 CFR Part
32	800; and
52	
33	WHEREAS, 24 CFR Part 58 authorizes state, local, and tribal governments to assume HUD's
34	environmental compliance responsibilities as Responsible Entities, including obligations as
35	Agency Official under Section 106 of the NHPA and its implementing regulations 36 CFR Part

- 36 800, and the State of Nevada and and certain units of general local government in the State
- of Nevada have assumed those responsibilities for the undertakings and programs included in
- 38 this Programmatic Agreement (Agreement); and
- 39 WHEREAS, HUD program officials and Responsible Entities review activities that include, but are
- 40 not limited to, acquisition, leasing, repair, rehabilitation, improvement, demolition, conversion,
- 41 new construction, lead hazard reduction, accessibility improvements, land-banking, and
- 42 disposition of residential and non-residential properties, streetscape and landscape
- 43 improvements, and infrastructure repair, replacement, and new construction, each of which is
- 44 an Undertaking as defined pursuant to 36 CFR § 800.16(y), and
- 45
- 46 WHEREAS, the implementation of HUD Programs may have an effect upon properties listed in
- 47 or eligible for listing in the National Register of Historic Places (National Register); and
- 48 WHEREAS, many HUD-assisted Undertakings consist of routine, similar, and repetitive
- 49 management activities that are appropriate for implementation through the use of an
- agreement document negotiated pursuant to 36 CFR § 800.14(b) and/or involve effects that are
- 51 minimal or have no potential to adversely affect historic properties and can be exempted from
- 52 the regular Section 106 review process; and
- 53 WHEREAS, HUD and Responsible Entities in the State of Nevada, in consultation with the
- 54 Nevada State Historic Preservation Office (SHPO), have determined that development of a
- 55 single Agreement for all HUD Programs in the State of Nevada would foster consistency and
- 56 efficiency in review of Undertakings unlikely to cause adverse effects on historic properties; and
- 57 WHEREAS, HUD, on behalf of itself and participating Responsible Entities has invited the
- 58 Colorado River Indian Tribes of the Colorado River Indian Reservation, Confederated Tribes of
- 59 the Goshute Reservation, Confederated Tribes of the Warm Springs Reservation, Duckwater
- 60 Shoshone Tribe, Ely Shoshone Tribe, Fort Independence Indian Community of Paiute Indians,
- 61 Fort McDermitt Paiute and Shoshone Tribes of the Fort McDermitt Indian Reservation, Fort
- 62 Mojave Indian Tribe, Hualapai Indian Tribe, Kaibab Band of Paiute Indians, Las Vegas Tribe of
- 63 Paiute Indians, Lovelock Paiute Tribe, Moapa Band of Paiute Indians, Paiute Indian Tribe of Utah
- 64 (Cedar Band of Paiutes, Kanosh Band of Paiutes, Koosharem Band of Paiutes, Indian Peaks Band
- of Paiutes, and Shivwits Band of Paiutes), Paiute-Shoshone Tribe of the Fallon Reservation and
- 66 Colony, Pyramid Lake Paiute Tribe, Reno-Sparks Indian Colony, Shoshone Tribe of the Wind
- 67 River Reservation, Shoshone-Bannock Tribes of the Fort Hall Reservation, Shoshone-Paiute
- 68 Tribes of the Duck Valley Reservation, Summit Lake Paiute Tribe, Te-Moak Tribe of Western
- 69 Shoshone Indians, Walker River Paiute Tribe, Washoe Tribe of Nevada & California (Carson
- 70 Colony, Dresslerville Colony, Woodfords Community, Stewart Community, & Washoe Ranches),
- 71 Winnemucca Indian Colony, Yerington Paiute Tribe of the Yerington Colony and Campbell

- 72 Ranch, and the Yomba Shoshone Tribe to consult in the development of this Agreement in
- 73 recognition of the unique government-to-government relationship between the federal
- 74 government and federally recognized Indian tribes, and all those tribes who participated in the
- consultation to develop this agreement are invited to be concurring parties; and
- WHEREAS, this Agreement is not applicable to Undertakings that are located on or that would
   affect historic properties on tribal trust lands; and
- 78 WHEREAS, HUD and the participating Responsible Entities will continue to conduct outreach
- and will actively seek and request the comments and participation of Indian tribes that
- 80 attach religious and cultural significance to historic properties that may be affected by
- 81 Undertakings assisted under the terms of this Agreement; and
- 82 WHEREAS, HUD and the participating Responsible Entities acknowledge that Indian tribes
- 83 possess special expertise in assessing the National Register eligibility of properties with tribal
- 84 religious and cultural significance; and
- 85 WHEREAS, HUD, on behalf of itself and participating Responsible Entities, has invited Certified
- 86 Local Governments (Boulder City, Carson City, Las Vegas, Reno, Storey County, Winnemucca),
- 87 Nevada Preservation Foundation, Historic Reno Preservation Society, Preserve Nevada, and the
- 88 Preservation Association of Clark County to comment and consult in the development of this
- 89 Agreement, and [*placeholder for response*]; and
- 90 WHEREAS, HUD, on behalf of itself and participating Responsible Entities has invited the public
- to comment, taking into account the nature and complexity of the Undertaking and its effects
- 92 on historic properties and the likely interest of the public in the Undertaking; and
- 93 WHEREAS, HUD, on behalf of itself and participating Responsible Entities, has notified the ACHP
- 94 and invited the ACHP to participate in the development of this Programmatic Agreement in
- 95 accordance with 36 CFR § 800.14(b)(2), and the ACHP has decided to participate and sign this
- 96 Agreement; and
- 97 **NOW, THEREFORE**, HUD, the participating Responsible Entities, the SHPO, and the ACHP
- 98 agree that HUD Housing, PIH, CPD, and OHH Programs in the State of Nevada shall be
- administered in accordance with the following stipulations in order to take into account the
- 100 effects of these Undertakings on historic properties and satisfy Section 106 responsibilities.
- 101

103

### **STIPULATIONS**

- 104 HUD and the participating Responsible Entities shall ensure the following:
- 105 I. Roles and Responsibilities of HUD and Responsible Entities

The HUD official and participating Responsible Entity's Certifying Officer shall serve as Agency Official for the review of Undertakings subject to their jurisdiction. Where "Agency Official" is used throughout the Agreement, it refers to the HUD official or Responsible Entity's Certifying Officer that has assumed the role of Agency Official for purposes of Section 106. A chart in Appendix C indicates whether 24 CFR Part 50 or 24 CFR Part 58 applies to the HUD programs listed in the chart.

### 112 **A. HUD**

- HUD, through its Office of Environment and Energy (OEE) in CPD, shall coordinate
   the initial execution of the Agreement and subsequent execution by additional
   Responsible Entities, and coordinate amendments to the Agreement.
- HUD, through OEE, shall conduct statewide consultation about the Agreement
   among Responsible Entities, the SHPO, federally recognized Indian tribes, interested
   statewide groups, and the public.
- 1193. HUD program staff shall serve as Agency Official for conducting Section 106 review120of individual Undertakings subject to 24 CFR Part 50.
- HUD shall not have the legal responsibility to represent or defend the process and outcomes of the Section 106 review of individual Undertakings subject to 24 CFR
   Part 58 before a court of law. That responsibility is assumed by the Responsible
   Entity under 24 CFR Part 58.
- If HUD receives Undertaking-specific complaints regarding a Responsible Entity's implementation of Stipulations II-IX of this Agreement, HUD will follow agency policy described at 24 CFR 58.77(b) by referring all such inquiries and complaints to the Responsible Entity and its Certifying Officer and consider such comments in HUD's established agency-wide and program-specific risk-assessment and monitoring procedures. As appropriate, HUD may provide technical assistance to help the Responsible Entity fulfill its responsibilities under this Agreement.
- 132 **B. Responsible Entities**
- 1331. The Certifying Officer of a Responsible Entity that has assumed HUD's environmental134review responsibilities as provided by 24 CFR 58.4 and signed this Agreement shall

135		conduct the Section 106 review in accordance with this Agreement as the Agency
136		Official.
137	2.	Responsible Entities that are not initial Signatories to the Agreement may sign and
138		use the Agreement after its initial execution by following the adoption protocol
139		found at Appendix B and executing a Signatory page.
140	3.	Participating Responsible Entities shall not have the legal responsibility to represent
141		or defend the process and outcomes of the Section 106 review of individual
142		Undertakings subject to 24 CFR Part 50 before a court of law, including those that
143		are located within their geographic jurisdiction. That responsibility is assumed by
144		HUD under 24 CFR Part 50.
145	C. De	signation of Lead Agency Official in HUD-assisted Projects
146	1.	If a project includes assistance subject to 24 CFR Part 58 and Part 50, the two (or
147		more) Agency Officials may agree to have one Agency Official serve as the Lead
148		Agency Official and complete one Section 106 review on behalf of all entities. If the
149		Lead Agency Official is a Signatory to the Agreement, they may use the provisions of
150		the Agreement for the review. The Agency Officials shall document the lead agency
151		agreement in writing and share it with consulting parties.
152	D. Ad	option of Another Federal Agency's Review
153	1.	If a federal agency or other entity acting under another federal program has
154		previously completed a Section 106 review and approved the Undertaking within the
155		past five (5) years, the Agency Official has no obligation to complete another Section
156		106 review regarding that Undertaking, provided that the Agency Official:
157		a. Adopts the findings and determinations of the previous Section 106 review;
158		b. Confirms that the scope and effect, as defined by 36 CFR § 800.16(i), and the
159		area of potential effects of its Undertaking are the same as the scope and effect
160		and the area of potential effects of the previously reviewed Undertaking;
161		c. Determines that the previous review was completed in compliance with
162		Section 106, and any existing applicable program alternatives;
163		d. Documents its findings and determinations in the HUD Environmental Review
164		Online System (HEROS) or other administrative record and confirms that all
165		requirements of Section 106 for the Undertaking have been satisfied.
166	2.	If the Agency Official, in consultation with the SHPO, determines that the previous
167		Section 106 review was insufficient or involved interagency disagreements about

168 eligibility, effect, and/or treatment measures, the Agency Official shall conduct a new Section 106 review for the proposed Undertaking in accordance with the 169 Stipulations of this Agreement. 170 171 E. Delegation to HUD Applicants or Lenders to Initiate Section 106 Consultation 1. HUD, through OEE, may authorize applicants to initiate consultation with SHPO 172 pursuant to 36 CFR § 800.2(c)(4). 173 2. OEE has issued a <u>Delegation Memorandum</u> that authorizes certain approved lenders 174 applying for FHA financing to initiate consultation with SHPO. Approved lenders must 175 176 follow the requirements of the memo and may use the provisions of this Agreement, 177 including exemptions in Stipulation II and Appendix A. If the Delegation Memorandum expires, it may no longer be used, and lenders may no longer use the 178 179 provisions of this Agreement. 3. When required, HUD must conduct consultation with tribes; this cannot be delegated 180 to lenders. 181 **UNDERTAKINGS EXEMPT FROM SECTION 106 REVIEW** 182 II. The following types of activities have limited or no potential to adversely affect historic 183 184 properties and are exempt from further Section 106 review pursuant to 36 CFR § 800.3(a)(1). To 185 document and conclude the review, the Agency Official shall note in HEROS or other administrative record the applicability of one or more of the exemptions. 186 187 A. Activities that are Categorically Excluded and not subject to related laws under 24 CFR § 188 50.19(b) when the HUD official is the Agency Official. 189 B. Activities that do not alter physical conditions in a manner or to an extent that would 190 require review (i.e. funding studies, providing management funds, or approving policy 191 documents) 192 C. Activities that are Exempt under 24 CFR 58.34 or Categorically Excluded and not subject 193 to related laws under 24 CFR 58.35(b) when the Responsible Entity's Certifying Officer is the Agency Official. 194 195 a. Tenant-based rental assistance; 196 b. Supportive services including, but not limited to, health care, housing services, 197 permanent housing placement, day care, nutritional services, short-term payments for rent/mortgage/utility costs, and assistance in gaining access to local, State, and 198 Federal government benefits and services; 199

200		c. Operating costs including maintenance, security, operation, utilities, furnishings,
201		equipment, supplies, staff training and recruitment and other incidental costs;
202		d. Economic development activities, including but not limited to, equipment purchase,
203		inventory financing, interest subsidy, operating expenses and similar costs not
204		associated with construction or expansion of existing operations;
205		e. Activities to assist homebuyers to purchase existing dwelling units or dwelling units
206		under construction, including closing costs and down payment assistance, interest
207		buydowns, and similar activities that result in the transfer of title;
208		f. Affordable housing pre-development costs including legal, consulting, developer and
209		other costs related to obtaining site options, project financing, administrative costs
210		and fees for loan commitments, zoning approvals, and other related activities which
211		do not have a physical impact;
212		g. Approval of supplemental assistance (including insurance or guarantee) to a project
213		previously approved under this part, if the approval is made by the same
214		Responsible Entity that conducted the environmental review on the original project
215		and re-evaluation of the environmental findings is not required under 24 CFR §
216		58.47.
217	D.	Refinancing without demolition, rehabilitation, or new construction, and no physical
218		activities beyond maintenance as defined in HUD Notice <u>CPD-16-02</u> "Guidance for
219		Categorizing an Activity as Maintenance for Compliance with HUD Environmental
220		Regulations, 24 CFR Parts 50 and 58".
221	E.	Leasing without demolition, rehabilitation, or new construction, and no physical
222		activities beyond maintenance as defined in HUD Notice <u>CPD-16-02</u> "Guidance for
223		Categorizing an Activity as Maintenance for Compliance with HUD Environmental
224		Regulations, 24 CFR Parts 50 and 58".
225	E.	Projects without ground disturbance that involve single-family (1-4 unit) residential
226		structures, including mobile homes, that are less than 50 years old, unless the property
227		is located within or adjacent to a historic district listed in the National Register, or is in
228		an area that consists primarily of buildings that were constructed more than 50 years
229		ago.
230	F.	Undertakings that are limited to the rehabilitation of interior spaces within single family
231		(1-4 unit) residential structures, where such work will not be clearly visible from the
232		exterior of the structure, unless the individual building has previously been determined
233		eligible for or listed in the National Register.
234	C	Undertakings consisting solely of activities listed in Appendix A.

III. REVIEW OF UNDERTAKINGS NOT EXEMPTED IN STIPULATION II

For all Undertakings with activities that do not meet the criteria in Stipulation II, the Agency
Official shall conduct reviews of the entire aggregated Undertaking as defined in CFR Parts
50.21 and 58.32, and in accordance with Subpart B of 36 CFR 800 and Stipulations IV through IX
of this Agreement which provide additional guidance on meeting Subpart B in review of HUDassisted Undertakings.

241

# 242 IV. IDENTIFICATION OF HISTORIC PROPERTIES

- A. The Agency Official shall define and document the Area of Potential Effect (APE) and
   identify historic properties within the APE that may be directly or indirectly affected by
   the Undertaking. For purposes of this Agreement, when an Undertaking consists solely
   of the rehabilitation of the interior features of an individual building, the APE will be
   limited to the individual building and parcel.
- B. For each Undertaking, the Agency Official shall make a reasonable and good faith effort 248 to identify historic properties in accordance with 36 CFR § 800.4(b)(I) by reviewing 249 existing information, including the records of the SHPO, to determine if any historic 250 251 properties are located within the APE, including properties listed in the National Register individually or as contributing elements of an historic district, properties with a 252 253 Determination of Eligibility (DOE) from the Secretary of the Interior, properties 254 determined eligible for the National Register through a previous Section 106 review, and 255 properties designated in SHPO and local survey and inventory records. The Agency Official may consult with the SHPO to determine the level of effort and methodology 256 257 necessary to identify a variety of historic property types. Much of this information is available online through county assessor information and the SHPO's Nevada Cultural 258 259 Resource Information System (NVCRIS) (https://shpo.nv.gov/services/nvcris).
- 260 C. For any properties that have not been listed or previously determined eligible for listing in the National Register, the Agency Official must evaluate any properties in the APE 261 that are forty-five (45) years old or older to determine if they are eligible for the 262 National Register, and offer the SHPO, consulting tribes, and other consulting parties the 263 264 opportunity to review and comment on this evaluation and determination of eligibility per 36 CFR § 800.4(c)(2). Unevaluated properties within the APE that are at least forty-265 266 five years old may be treated by the Agency Official as eligible for the National Register for the purpose of determining effect of specific Undertaking. 267
- D. The Agency Official shall use HUD Notice <u>CPD-12-006</u> ("Process for Tribal Consultation in
   Projects that are Reviewed Under 24 CFR Part 58") and the May 5, 2015, <u>HUD policy</u>

270 <u>memorandum</u> ("Section 106 Tribal Consultation in Projects Reviewed Under 24 CFR Part
 271 50") that adopts the Notice for Part 50 reviews for guidance on when and how to
 272 consult with Indian tribes about sites of religious and cultural significance to tribes,
 273 including archeological sites, that may be considered historic properties.

# 274 V. DOCUMENTATION

A. Documentation required for SHPO consultation per the provisions of this Agreement
shall meet the requirements in 36 CFR § 800.11 and include, but may not be limited to,
written descriptions of the Undertaking and affected historic properties (if any), reports
demonstrating that properties are eligible (or not) for listing in the National Register,
findings of effect, alternatives analysis, maps showing specific Undertaking locations and
APEs, and clear photographs.

B. All documentation generated for review purposes under the terms of this Agreementshall meet requirements specific to the State of Nevada.

# 283 VI. TIMEFRAMES

- A. The SHPO, tribes, and other consulting parties shall have thirty (30) days from receipt to
   review and respond to an adequately documented request for comment and
   concurrence. If the SHPO does not respond within thirty (30) days of receipt of the
   request for concurrence, the consultation shall be considered complete. If the SHPO
   later reenters the consultation, the Agency Official may continue the consultation
   without being required to reconsider previous findings or determinations.
- B. If the SHPO requests additional missing information in order to meet documentation
   requirements under Stipulation V.B., the SHPO shall have thirty (30) days from receipt of
   the additional information to respond.
- 293 C. When findings are submitted to the SHPO, the Agency Official shall also circulate 294 findings to consulting parties for comment or objection within thirty (30) days.

### 295 VII. CONSULTING PARTIES AND PUBLIC INVOLVEMENT

A. The Agency Official shall identify and invite the participation of consulting parties as
 appropriate to the scale of the Undertaking and the scope of federal involvement.
 Undertakings with anticipated adverse effects and/or that may be controversial will
 likely warrant broader consultation. Parties that may have a consultative role in the
 Section 106 process include, but are not limited to, the following: federally recognized
 Indian tribes, representatives of local governments, and applicants for federal
 assistance. The Agency Official shall also identify and invite the participation of other

consulting parties, defined as those individuals and organizations with a demonstrated
 interest due to the nature of their legal or economic relationship to the Undertaking or
 their concern for the Undertaking's effects on historic properties. Other consulting
 parties can include county and municipal historic preservation commissions, including
 those established under the Certified Local Governments (CLG) program, local residents'
 groups, neighborhood associations, and other similar organizations.

- B. The Agency Official shall identify and invite the participation of the public as appropriate
  to the scale of the Undertaking and the scope of federal involvement. Undertakings
  with anticipated adverse effects and/or that may be controversial may warrant broader
  public consultation.
- C. The Agency Official shall follow HUD Notice <u>CPD-12-006</u> ("Process for Tribal
- Consultation in Projects That Are Reviewed Under 24 CFR Part 58") and the May 5,
- 315 2015, <u>HUD policy memorandum</u> ("Section 106 Tribal Consultation in Projects Reviewed
- 316 Under 24 CFR Part 50") that adopts the Notice for Part 50 reviews.
- 317D. If an Undertaking may adversely affect a National Historic Landmark, the Agency Official318shall follow the requirements in Section 110f of the NHPA and 36 CFR § 800.10.
- 319 VIII. POST-REVIEW DISCOVERIES

If, during the implementation of an Undertaking, a previously unidentified property that may be
 eligible for inclusion in the National Register is encountered, or a known historic property may
 be affected in an unanticipated manner, the Agency Official shall take the following steps.

- A. In the event that previously unidentified archeological sites or human remains are
   discovered during project construction, construction activities shall stop immediately
   within at least 100 feet of the discovery, and the project manager shall take appropriate
   steps to immediately secure the site and notify the Agency Official within 48 hours. The
   Agency Official shall immediately notify the SHPO and, as appropriate, federally
   recognized Indian tribes, and other relevant consulting parties.
- B. If human remains are discovered, they shall be respectfully covered over and protected.
   In addition, the project manager shall immediately notify local or state law enforcement
   authorities including medical examiner or coroner in accordance with Nevada Revised
   Statutes (NRS) Chapters 259, 383, and 451.
- C. The Agency Official shall consult with the SHPO, and, as appropriate, federally
   recognized Indian tribes and other consulting parties to determine if the discovered site
   appears eligible for the National Register. If it does appear eligible, the Agency Official

- shall submit a treatment plan for the avoidance, protection, recovery of information, or
- 337 destruction without data recovery to the SHPO for review and comment. The treatment
- 338 plan shall be consistent with the ACHP's handbook "Treatment of Archaeological
- Properties" and subsequent amendments. If human remains are discovered, the
- 340 treatment plan shall follow the guidance in the Advisory Council on Historic Preservation
- 341 Policy Statement Regarding Treatment of Burial Sites, Human Remains and Funerary
- 342 Objects (https://www.achp.gov/treatment-burial-sites), including appropriate
- 343 consultation with descendent communities. Avoidance and preservation in place are344 the preferred options for treating human remains.
- D. The Agency Official shall notify all consulting parties of the unanticipated discovery and provide the proposed treatment plan for their comment. Construction work in the area of the discovery shall not continue until the plan has been accepted by the SHPO and appropriate tribes, and implemented. If objections to the proposed treatment plans cannot be resolved, the ACHP shall be consulted as per Stipulation XI.
- E. The procedure upon discovery of Indian burial sites in the State of Nevada on private or
   public lands is governed by Nevada Revised Statutes (NRS) 383.171, and any
   Undertaking that may affect an Indian burial site shall comply with provisions of NRS
   383.171, the terms of this Agreement notwithstanding.

# 354 IX. DISASTERS AND EMERGENCY REVIEW PROCEDURES

The Agency Official from time to time may review Undertakings in response to natural disasters, including, but not limited to, floods, tornadoes, earthquakes, windstorms, fires, and public health emergencies.

- 358 A. Exemption from Review
- 3591. Immediate rescue and salvage operations conducted to save life or property are360exempt from Section 106 review requirements per 36 CFR § 800.12(d).
- 361 B. Expedited Review
- 3621. In response to a disaster or emergency situation declared by the President, a tribal<br/>government, or the Governor of the State of Nevada, the Agency Official may<br/>conduct expedited reviews of emergency Undertakings that occur within 30 (thirty)<br/>days of the disaster declaration or within 30 (thirty) days of the completion of<br/>emergency event pursuant to 36 CFR § 800.12(b). SHPO shall respond to a request<br/>for comment within 7 (seven) days. If a disaster is declared by an Responsible<br/>Entity's chief executive officer or legislative body under 36 CFR § 800.12(c), the

369 370			Agency Official may follow the same process, unless the ACHP or SHPO objects to the action within the 7 (seven) days.
371 372 373 374 375			2. Should the Agency Official determine that it is necessary to extend the expedited review period for emergency Undertakings beyond the initial 30 (thirty) days, the Agency Official may request an extension prior to expiration of the review period in 30-day increments, as needed, by notifying in writing the ACHP, and notify SHPO and participating Indian tribe(s).
376		C.	Review Under Separate Programmatic Agreement
377 378 379 380 381			1. An Responsible Entity with an future executed and valid Programmatic Agreement for CDBG-DR funds or a HUD Addendum to the FEMA Programmatic Agreement for the State of Nevada for disaster recovery activities may use the provisions of that Agreement and successor Agreements to expedite review of Undertakings that respond to Presidentially declared disasters
382	х.		MONITORING, REPORTING, AND TRAINING
383		Α.	The Agency Official shall provide the SHPO with an Annual Report no later than February
384			28th of each year summarizing all projects in the completed Fiscal Year that were
385 386			exempted from review under this Agreement and, if requested by the SHPO, shall schedule a meeting with them to discuss the Annual Report.
387		В.	This Annual Report shall include, but not be limited to, the following:
388 389 390			1. A list of Undertakings that used the exemptions in Stipulation II and Appendix A of the Agreement to conclude Section 106 without further consultation with the SHPO, categorized by the project location and the specific exemptions used;
391			2. A summary of staff and consultant training held;
392			3. A description of staff and consultant training proposed for the following year;
393			4. The views of the Agency Official regarding the effectiveness of the Agreement; and
394 395			5. Suggestions for additional actions that could be considered for inclusion in the list of exemptions (Appendix A).
396 397 398		C.	Upon written request from one or more of the Signatories of the Agreement, HUD may conduct training workshops or webinars to assist Agency Officials, HUD grant recipients, and consultants to understand the technical requirements of the Agreement.
399	XI.		DISPUTE RESOLUTION

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- 400 At any time during the implementation of the measures stipulated in this Agreement, should an
- 401 objection to any measure or manner of implementation be raised by a consulting party or member of
- 402 the public, the Agency Official shall take the objection into account and consult with the objecting
- 403 party and the SHPO to resolve the issue.
- A. The Agency Official shall represent itself in all matters of dispute resolution that pertainspecifically to this Agreement.
- B. The responsibilities of the Signatories to carry out all other actions subject to the termsof this Agreement that are not the subject of the dispute shall remain in effect.
- C. If the Agency Official determines that the objection cannot be resolved, they shall
  forward all documentation relevant to the dispute to the ACHP and request its
  recommendations or comments. The Agency Official will take into account the ACHP's
  recommendations or formal comments in reaching a final decision regarding the
  dispute.
- D. If the ACHP does not provide its advice regarding the dispute within thirty (30) days
  period, the Agency Official may make a final decision on the dispute and proceed
  accordingly. Prior to reaching such a final decision, the Agency Official shall prepare a
  written response that takes into account any timely comments regarding the dispute
  from the Signatories and Concurring Parties to the Agreement and provide them and
  the ACHP with a copy of such written response.

# 419 XII. AMENDMENTS

- A. Any Signatory may submit a written request to HUD that this Agreement be amended.
  HUD will notify the other Signatories and consult with them in accordance with 36 CFR §
  800.14(b). With the exception of requests made under Stipulation XII.B. below,
  amendments shall only be considered if requested in writing and must be approved in
  writing by all Signatories.
- 425 B. Appendix A Exempt Activities may be amended without requiring amendment to the 426 body of this Agreement at the request of an Agency Official who is a Signatory to the Agreement. HUD, on its own behalf or on behalf of another Signatory, shall notify the 427 Signatories of the intent to modify Appendix A and shall provide a draft of the updated 428 Appendix to all Signatories. If no Signatory objects in writing within 30 (thirty) days of 429 430 receipt of the proposed modification, HUD shall date and sign the amended Appendix and provide a copy of the amended Appendix to the other Signatories. Such an 431 amended Appendix A shall go into effect on the date HUD transmits the amended 432 Appendix A to the other Signatories. If a Signatory objects to the proposed amendment 433

434 to Appendix A, HUD shall continue consultation to reach consensus, and if not resolved, the amendment shall not be implemented. 435

#### 436 XIII. TERMINATION

- A. A Signatory may terminate its participation in this Agreement by providing written 437 notice describing the reason(s) for termination to the other parties. If the SHPO or 438 439 ACHP terminates, the Agreement with all Agency Officials is terminated. If a Responsible Entity's Agency Official terminates, the Agreement is terminated only for 440 441 activities subject to that Agency Official's Section 106 review under 24 CFR Part 58 and remains in effect for other participating Agency Officials. If HUD, represented by the 442 Assistant Secretary for Community Planning and Development, terminates, the 443 Agreement is terminated only for activities subject to HUD's Section 106 review under 444 445 24 CFR Part 50 and remains in effect for other participating Agency Officials' activities 446 under 24 CFR Part 58.
- B. This Agreement shall remain in effect for 45 (forty-five) days from receipt of notice to 447 terminate. The Signatory proposing termination, HUD through OEE, the SHPO, and the 448 ACHP shall consult prior to termination to consider the written reasons for termination 449 450 and if possible, to amend the Agreement accordingly or seek other actions that would prevent termination. If such action is not possible, the requesting signatory shall provide 451 final notice of termination in writing to the other Signatories. 452
- C. In the event that an Agency Official terminates their participation in this Agreement and 453 prior to any work continuing on any Undertaking, the Agency Official shall comply with 454 36 CFR Part 800 for all Undertakings that would have been subject to this Agreement. In 455 the event that the SHPO or ACHP terminates the Agreement and prior to work 456 continuing on any Undertaking, all Agency Officials shall comply with 36 CFR Part 800 for 457 all Undertakings that would have been subject to this Agreement. 458

#### XIV. DURATION 459

- 460 A. This Agreement shall remain in force for ten (10) years from the date of execution, unless it is terminated or superseded by another Agreement. 461
- 462 B. This Agreement may be terminated by the execution of a subsequent Agreement pursuant to 36 CFR § 800.14(b) that explicitly terminates or supersedes this Agreement. 463
- C. Within six (6) months prior to the expiration of this Agreement, the Signatories shall 464 consult to consider terms for a new Agreement, extension, or amendment of the terms 465 of the existing Agreement, or to allow the Agreement to expire. 466

14

- 467 D. The Signatories may collectively agree to extend this Agreement to cover additional
   468 calendar years, or portions thereof, through an amendment in accordance with
   469 Stipulation XII.A., provided that the original Agreement has not expired.
- 470 E. Should an Agency Official choose not to extend their participation in the Agreement,
  471 they shall terminate their participation per Stipulation XIII, and the Agreement shall
  472 remain valid for the other parties.

# 473 XV. EXECUTION AND IMPLEMENTATION

- 474 This Agreement may be executed in counterparts, with separate signature pages. After
- signature by HUD, the SHPO, and the initial participating Responsible Entities, the Agreement
- 476 will become effective on the date it is signed by ACHP. Responsible Entities that sign later must
- 477 follow the protocol in Appendix B. For Responsible Entities that sign later, the Agreement will
- become effective for them on the date on which the Responsible Entity signs the Agreement
- and submits its signature page to HUD, through OEE, and the SHPO.
- 480 Execution of this Agreement and implementation of its terms evidence that HUD and
- 481 participating Responsible Entities have taken into account the effects of the Undertakings
- 482 subject to this Agreement on historic properties and afforded the ACHP an opportunity to
- 483 comment.
- 484

485	PROGRAMMATIC AGREEMENT
486	among
487	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
488	and
489	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
490	and
491	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
492	ENTITIES
493	and
494	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
495	ENTITIES
496	and
497	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
498	for
499	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
500	24 CFR PART 50 and PART 58
501	in
502	THE STATE OF NEVADA
503	
504	
505	Signatory:
506	US Department of Housing and Urban Development
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508	
509	By: [Name] Date
510	[Title]
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524	PROGRAMMATIC AGREEMENT
525	among
526	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
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539	24 CFR PART 50 and PART 58
540	in
541	THE STATE OF NEVADA
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543	
544	Signatory:
545	Nevada State Historic Preservation Officer
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548	By: [Name] Date
549	[Title]
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562	PROGRAMMATIC AGREEMENT
563	among
564	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
565	and
566	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
567	and
568	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
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574	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
575	for
576	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
577	24 CFR PART 50 and PART 58
578	in
579	THE STATE OF NEVADA
580	
581	
582	Signatory:
583	Advisory Council on Historic Preservation
584	
585	
586	By: [Name] Date
587	[Title]
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600	PROGRAMMATIC AGREEMENT
601	among
602	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
603	and
604	THE NEVADA STATE HISTORIC PRESERVATION OFFICER
605	and
606	CERTAIN NEVADA UNITS OF GENERAL LOCAL GOVERNMENT ACTING AS RESPONSIBLE
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609	CERTAIN FEDERALLY RECOGNIZED TRIBAL GOVERNMENTS ACTING AS RESPONSIBLE
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612	THE ADVISORY COUNCIL ON HISTORIC PRESERVATION
613	for
614	THE REVIEW OF HUD-ASSISTED PROJECTS AND PROGRAMS SUBJECT TO
615	24 CFR PART 50 and PART 58
616	in
617	THE STATE OF NEVADA
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619	
620	Invited Signatory:
621	[Other consulting party with role in implementation of the Agreement]
622	
623	
624	By: [Name] Date
625	[Title]
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638	PROGRAMMATIC AGREEMENT	
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640	THE U.S. DEPARTMENT OF HOUSING AND URBAN DEV	ELOPMENT
641	and	
642	THE NEVADA STATE HISTORIC PRESERVATION OF	FICER
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654	in	
655	THE STATE OF NEVADA	
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658	Signatory:	
659	Responsible Entity	
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662	By: Name of Certifying Officer Date	
663	Title	
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### APPENDIX A

### 677

# **Exempt Activities**

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In accordance with Stipulation II.G. above, Undertakings that are comprised solely and entirely
 of the following activities require no further Section 106 review. Applicability of the

681 exemption(s) must be documented in HEROS or other administrative record.

For purposes of this Agreement, the term "In-kind repair" is defined as an action to restore the

683 mechanical, structural, or aesthetic function of an element of an historic resource using

materials and methods compatible with the original nature and function of that element. The

term "in-kind replacement" is defined as installation of a new element that duplicates the

686 material, dimensions, design, configuration, and detailing of the original element. The term

- 687 "previously disturbed soils" is defined as soil which has been disturbed in the last 50 years prior
- to the proposed ground disturbance and which is not in a known archaeological site.

# 689 1. Site Work

- 690a.Streets, driveways, alleys, and parking areas.Repair and restriping of existing691concrete or asphalt surfaces and parking areas provided that no changes are made692in width, surface, vertical alignment, or drainage.
- b. <u>Curbs, gutters, sidewalks, and retaining walls</u>. Repair of existing concrete or asphalt
   surfaces or in-kind replacement of brick, rock, or stone materials for curbs, gutters,
   sidewalks, and retaining walls.
- 696c.Site improvements.Repair or in-kind replacement of site improvements in existing697footprint including, but not limited to, fences, landscaping, and steps.
- d. <u>Underground utilities.</u> Repair or replacement of existing water, sewer, natural gas,
   electric, or telecommunication lines less than 50 years in age. In-place repair of
   septic systems if it occurs in or adjacent to the existing trench and provided there is
   no substantial earth moving and no intrusion into undisturbed soils. Directional
   boring of new/replacement utility lines within previously disturbed soils.
- e. <u>Aboveground utilities.</u> Repair or replacement of existing wires, anchors, crossarms,
   and other miscellaneous hardware on existing overhead lines but not including pole
   replacement or installation outside city limits. Water tower replacement is not
   exempt.
- f. <u>Street lighting and traffic signals.</u> Repair and replacement of non-historic
   streetlights, traffic signals, and traffic signs, outside of a National Register listed or
   eligible historic district.

710	g.	Park and playground equipment. Installation, repair, or replacement of park and
711		playground equipment less than 50 years in age, excluding buildings, with minimal
712		ground disturbance.
713	h.	Temporary structures. Installation of temporary construction-related structures
714		including scaffolding, barriers, screening, fences, protective walkways, signage,
715		office trailers, or restrooms.
716	i.	Test holes and wells. Test borings, well drilling, and percolation tests that do not
717		require a temporary or permanent new access road to the site and are 1) less than 1
718		meter in horizontal size and less than 0.5 meter deep, or 2) located within previously
719		disturbed soils as defined above.
720	j.	Generators. Temporary installation of generators. Permanent installation of
721		generators that are placed inside existing buildings or that occupy an area less than
722		50 square feet behind the building they serve.
723 724	k.	<u>Plantings.</u> Planting of grass, shrubs, bushes, and trees when in previously disturbed soils as defined above.
725	I.	Ground Disturbance. Any proposed ground disturbance in soil 1) less than 1 m in horizontal
726		size and less than .5 m deep, or 2) within previously disturbed soils as defined above.
727	2. Exterio	or Rehabilitation
728	a.	Exterior repairs. Repair or partial in-kind replacement of porches, columns, cornices,
729		siding, doors, balustrades, stairs, sills, or trim.
730	b.	Security bars. Repair or partial in-kind replacement of security bars. Installation of new
731		security bars over windows on rear elevations and the installation of metal grating at
732		basement window wells.
733	С.	
734		installation of new window jambs or jamb liners; repairing, replacing, or
735		reintroducing sash weights, sash chain, and sash cord; repair, in-kind replacement,
736		or reintroduction of hardware such as lifts and locks; repair, replacement, or
737		installation of storm windows (exterior, interior, metal, or wood) provided they
738		match the historic shape and size of the historic prime windows and that the
739		meeting rail coincides with that of the prime window.
740	d.	Non-historic windows. Repair of non-historic windows, addition of storm windows,
741		and replacement with new windows sized to fit the original window openings.
742	e.	
743		exterior paint by non-destructive means, limited to hand scraping, low-pressure
744		water less than 600 pound per square inch, heat plates, hot air guns, or chemical
		paint removers provided that the removal method is consistent with the provisions
745		paint removers provided that the removal method is consistent with the provisions
745 746		of 24 CFR Part 35, "Lead-Based Poisoning Prevention in Certain Residential Structures," and National Park Service Preservation Briefs #10: <i>Exterior Paint</i>

748		Problems on Historic Woodwork and #37: Appropriate Methods for Reducing Lead-
749		Paint Hazards in Historic Housing.
750	f.	Roofing. Repair or in-kind replacement of roof cladding and sheeting, flashing,
751		gutters, soffits, and downspouts with no change in roof pitch or configuration.
752		Installation of new roofing or reflective roof coatings on a flat-roofed building with a
753		parapet, such that the roofing material is not visible from any public right-of-way.
754	g.	Masonry and walls. In-kind repair of concrete and masonry walls, columns, parapets,
755		chimneys, or cornices or limited in-kind replacement of damaged components
756		including comparable brick, and mortar that matches the color, strength, content,
757		rake, and joint width.
758	h.	Awnings. Repair or in-kind replacement of awnings.
759	i.	Mechanical and solar systems. Replacement or installation of exterior HVAC mechanical
760		units and vents not on the front elevation and rooftop units that cannot be seen in
761		conjunction with the front facade. Replacement or installation of rooftop solar panels and
762		associated equipment that cannot be seen in conjunction with the front façade.
763	j.	<u>Security features</u> . Installation of exterior security features and early warning devices on
764		exiting light poles or other permanent utilities. New wiring must be sub-surface to the
765		greatest extent possible or, where exposed, enclosed in conduit that is painted to match the
766 767	k	existing surface. <u>Basement bulkhead doors.</u> Replacement or repair of basement bulkhead doors and
768	к.	installation of basement bulkhead doors not on the front elevation.
769	I.	<u>Mothballing.</u> Securing or mothballing a property by boarding over window and door
770		openings, making temporary roof repairs, or ventilating the building in accordance
771		with National Park Service Preservation Brief #31: <i>Mothballing Historic Buildings</i> .
772	m	Accessibility ramps. Grading ground paths that provide access to a building, repair
773		of existing ramps, and installation of new non-permanent and removable ramps that
774		do not irreversibly impact porches or railings.
775	n.	
776		applying weatherproofing or sealers, and repairs to all other types of foundations.
777	0.	Attic vents. Repair or in-kind replacement of historic attic vents in original openings
778		or installation of new attic vents painted to match gables.
779	p.	Seismic and structural repairs. Seismic and structural repairs of buildings and
780	•	parking facilities, provided that there is no substantial earth moving such as new
781		footings, foundation-trenching, or excavation.
782	q.	<u>Chimney liners.</u> Repair or in-kind replacement of chimney liners provided that the
783	-1-	work does not affect the exterior of the chimney.
784	r.	<u>Replacement of mobile homes.</u> Replacement of deteriorated or damaged mobile
785		homes with new mobile homes on existing pads with existing utility hook-ups.

786 3. Interior Rehabilitation

Draft Part 50/58 Programmatic Agreement – December 2023

787	a.	Mechanical systems. Installation, replacement, or repair of plumbing, HVAC systems and
788		units, hot water heaters, furnaces, electrical wiring, security systems, and fire protection
789		systems, provided no structural alterations are involved. Included are bathroom
790		improvements for handicapped access, provided the work is contained within the existing
791 792	h	restroom walls. <u>Surfaces</u> . Repair or in-kind replacement of interior surface treatment such
	υ.	
793		as floors, walls, ceilings, plaster, and woodwork. If covering historic features, such
794		as wood floors, then carpet or sheet goods (linoleum or vinyl) shall be installed in a
795		reversible manner, either through tacking or with an underlayment so historic floors
796		shall not be irreversibly damaged.
797	с.	Insulation. Installation of non-spray insulation in ceiling, attic, and basement spaces.
798	d.	Radiant barriers. Installation of radiant barriers in unoccupied attic spaces
799	e.	Asbestos abatement. Abatement or control of asbestos that does not involve
800		removal or alteration of interior features.
801	f.	Floors and stairs. Repair and in-kind replacement of floors and stairs, replacement
802		of carpets, and installation or repair of concrete basement floors in an existing
803		basement.
804	g.	Bath and kitchen fixtures. Repair or replacement of bathroom and kitchen
805		equipment and fixtures.
806	h.	Accessibility. Installation of grab bars and other such minor interior modifications to
807		allow individuals with disabilities to have full and equal access to housing, such as
808		alterations within an existing bathroom for physical accessibility and use
809		(accessibility repairs), installation of wedges and removal of thresholds to facilitate
810		access through door openings, and creation of interior ramps that are non-
811		permanent and removable.
812	i.	Lead paint hazard mitigation. Interior lead hazard mitigation and abatement using
813		lead-safe work practice when it is limited to washing, scraping and repainting,
814		wallpapering, and chemical stripping of lead-painted surfaces; installation of new
815		window jambs or jamb liners; installation of metal panning in window wells; and
816		replacement of non-significant flat stock trim, provided that the work is consistent
817		with the provisions of 24 CFR Part 35.140, "Prohibited methods of paint removal,"
818		and National Park Service Preservation Brief #37: Appropriate Methods for Reducing
819		Lead-Paint Hazards in Historic Housing.
820	j.	<u>Communication, access and security systems.</u> Installation of communication, access, and
821	٦.	security system components such as cameras, closed-circuit television, card readers,
822		enhanced locks, alarm systems, and public address systems, provided that installation of the
823		system hardware does not damage or cause the removal of character defining architectural
824		features and can be easily removed in the future. New wiring will be sub-surface to the
825		greatest extent possible or where exposed will be enclosed in conduit that is painted to
826		match the existing surface.

k. Mechanical conveyance systems. In-kind repair, replacement, or limited upgrading of 827 828 elevators or other mechanical conveyance systems. 829 I. <u>Seismic retrofits.</u> Installation of the following retrofits or upgrades, provided that such 830 upgrades are not visible on the exterior: attic bracing, cross bracing on pier-and-post foundations; fasteners; collar ties; gussets; tie-downs; strapping and anchoring of 831 mechanical, electrical, and plumbing equipment; concealed anchoring of furniture; 832 installation of plywood diaphragms beneath first floor joists, above top floor ceiling rafters, 833 and on roofs; and automatic gas shutoff valves. In masonry structures, bolts will be required 834 835 to be installed through the mortar and not the stone or brick, as applicable. 836

837	APPENDIX B
838 839	Protocol for Responsible Entities to Adopt the HUD Statewide Part 50/58 Programmatic Agreement

### 840 Background

841 HUD assisted projects require a historic preservation compliance review, otherwise known as a 842 Section 106 review, which requires federal agencies to consider impacts their projects may 843 have on historic properties. This review process can be completed as outlined in 36 CFR Part 844 800 for individual projects, or a Programmatic Agreement (PA) may be developed as an 845 alternative to expedite the process for multiple projects. HUD developed a statewide PA that applies to HUD projects administered under both 24 CFR Part 58, when the unit of local 846 847 government is the Responsible Entity mandated to ensure compliance with the National Environmental Policy Act and all related laws and authorities under Part 58, including Section 848 849 106, and Part 50, when HUD officials conduct the environmental review. The PA includes a list 850 of project activities that are exempt from further review, provided the stipulations in the PA are 851 met.

852 Individual REs can opt to sign the PA and use its streamlining measures in their Section 106

reviews. Participation in the PA is voluntary. An Responsible Entity is always free to develop its

own separate, individual PA or conduct reviews with the standard Section 106 process. To take advantage of the stipulations in the PA, an Responsible Entity must be a party to the PA which

856 can be achieved through implementing the protocols below.

857 The PA was drafted by HUD in consultation with the SHPO and with input from the ACHP.

858 During the initial development of the PA, HUD, through its Office of Environment and Energy,

859 facilitated consultation with statewide historic preservation organizations and federally

recognized Indian tribes. REs that signed at the initial stage conducted outreach to the public

and potentially interested parties in their jurisdiction about the PA and their intention to sign it

as well as to solicit comments on the draft. Documentation of that outreach effort and

resulting comments were provided to HUD. The draft PA was revised based on comments

received from HUD's outreach as well as that of the REs. The PA is now executed and cannot be

865 modified, except as outlined in Stipulation XII. of the PA.

The following guidelines should be used when an Responsible Entity seeks to adopt the PA to expedite Section 106 reviews conducted under Part 58.

- 868
- 869

#### 870 Protocol to Adopt the HUD Statewide PA

#### Initiation 871

An Responsible Entity should notify the HUD Region IX Regional Environmental Officer 872 873 or a Field Environmental Officer of their interest in adopting the PA for their jurisdiction. HUD is available to support and discuss the PA process with REs and assist them 874 throughout the adoption process as necessary. 875

#### 876 Public Outreach

- Before they adopt the PA, REs must inform potentially interested parties in their 877 jurisdiction about the PA and their interest in adopting it. Such parties may include, but 878 are not limited to, local historic preservation commissions (whether or not the city 879 880 participates in the SHPO's Certified Local Governments program) and local historic preservation organizations. This can be done by notice, letter, meeting, or other means. 881 882 The general public must also be informed. This can be done by notice on a local 883 government website, in a local newspaper, or other means. REs must consider any 884 comments received in their decision on whether to sign the PA.
- The Responsible Entity must provide HUD with documentation of their public outreach. 885 886 If insufficient, HUD will provide technical assistance to the Responsible Entity on additional outreach required. HUD will provide the documentation to the SHPO when 887 submitting the executed signature page. 888

#### 889 Adoption of PA

• HUD will provide a signature page for each participating Responsible Entity. 890 891 The Responsible Entity may begin using the PA for reviews effective the date of 892 signature by the Responsible Entity and submission to HUD. 893 On behalf of the Responsible Entities, HUD will notify the SHPO of new Signatories to 894 the PA. 895 HUD will post the Responsible Entity signature pages on the Section 106 Agreements 896 section of the HUD Historic Preservation page at https://www.hudexchange.info/environmental-review/historic-preservation/section-897 106-agreements/ 898

#### **Annual Report** 899

900 Per Stipulation X. of the PA, the Responsible Entity must prepare an Annual Report with a narrative and statistical overview of the actions taken by the Responsible Entity in the 901 previous year to implement the terms of the PA. HUD will provide data from HEROS on 902

- the Responsible Entity's projects during the year. The Responsible Entity must provide
  the report to HUD and the SHPO. Any of the parties may request a follow-up discussion
  of the report and its recommendations.
- REs are encouraged to use HEROS when preparing their Part 58 reviews to facilitate the
   preparation of the annual report required by the PA.
- 908

# 909 For more information contact your HUD Regional Environmental Officer or Field

- 910 Environmental Officer. A list is available here:
- 911 https://www.hudexchange.info/programs/environmental-review/hud-environmental-staff-
- 912 <u>contacts/#region-ix-regional-and-field-environmental-officers</u>
- 913
- 914

#### **APPENDIX C**

- 917 Applicability of Part 50 and Part 58 to HUD Programs
- 918

#### November 2023

919 This Appendix may be amended in accordance with Stipulation XII, Amendments.

HUD programs that are subject to Part 50 include, but are not limited to: mortgage insurance
per Sections 203(b), 207, 211, 220, 221(d)(3), 223(a), 223(f), 207 and 221(d)(4) of the National
Housing Act of 1934; Section 202 of the Housing Act of 1959; Section 811 of the CranstonGonzalez National Affordable Housing Act of 1990; and HUD administered programs under the

American Recovery and Investment Act of 2009 that require accelerated processing timelines.

925 HUD programs that are subject to Part 58 include, but are not limited to: the Community Development Block Grant (CDBG) Program, under Title I of the Housing and Community 926 927 Development Act of 1974, as amended; Community Development Block Grant - Disaster 928 Recovery (CDBG-DR) and Community Development Block Grant - Coronavirus (CDBG-CV) 929 under various acts including the Disaster Relief Appropriations Act of 2013, Further 930 Continuing and Security Assistance Appropriations Act, 2017, Consolidated Appropriations 931 Act, 2017, Continuing Appropriations Act, 2018; Supplemental Appropriations for Disaster 932 Relief Requirements Act, 2017, Bipartisan Budget Act of 2018, Additional Supplemental 933 Appropriations for Disaster Relief Act, 2019; Coronavirus Aid, Relief, and Economic Security 934 (CARES) Act; HOME Investment Partnerships (HOME) Program, under Title II of the Cranston-935 Gonzalez National Affordable Housing Act of 1990 (HOME Investment Partnership Act); Emergency Solutions Shelter Grant (ESG) Program and Continuum of Care (CoC) Program, 936 937 under Subtitles B and C, respectively, of Title IV of the Stewart B. McKinney-Vento Homeless Assistance Act; Housing Opportunities for Persons with AIDS (HOPWA) program, under the 938 AIDS Housing Opportunity Act, as amended by the Housing and Community Development Act 939 940 of 1992; and projects financed with competitive awards of Supportive Housing Program (SHP) funds, under former Title IV, subtitle C, of the Steward B. McKinney-Vento Act; Self-Help 941 942 Homeownership Opportunity Program (SHOP) under Section 11 of the Housing Opportunity

- 943 Program Extension Act of 1996; and the Neighborhood Stabilization Program (NSP)
- 944 established under the Housing and Economic Recovery Act of 2008 (P.L. 110-289) (HERA) and
- ontinued under the American Recovery and Reinvestment Act of 2009 (P.L. 111-005)
- 946 (Recovery Act) and the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L.
- 947 111-203) (Dodd-Frank); public housing and the Project-based Voucher Program under Title 1
- of the United States Housing Act of 1937; the Indian Community Development Block Grant
- 949 (ICDBG) under the Housing and Community Development Act of 1974, as amended; the

- 950 Indian Housing Block Grant (IHBG) Program under the Native American Housing and Self
- 951 Determination Act of 1996 (NAHASDA); loan guarantees for Indian housing under Section 184
- of the Housing and Community Development Act of 1992; the Risk Share Program under
- 953 Section 542(c) of the Housing and Community Development Act of 1992; and other programs
- 954 that may be authorized from time to time.
- 955 The table on following pages includes a brief description of current HUD Programs and indicates
- 956 whether they are subject to Part 50 or Part 58.

# APPLICABILITY OF PART 50 AND PART 58 TO HUD PROGRAMS November 2023

Office of Multifamily Housing

The Office of Multifamily Housing provides mortgage insurance programs for apartment buildings as well as capital advance funds to construct low-income housing for elderly and disabled residents. Projects labeled apartments would typically be a Multifamily Housing program, except public housing.

Program	Description	Part 50	Part 5
Section 221(d)(4)	New Construction or substantial rehabilitation of multifamily rental housing.	х	
Section 223(a)(7)	Refinance of HUD-insured multifamily projects with no substantial rehabilitation. No further Section 106 review due to No Potential to Cause Effects determination. If action involves purchase, Section 106 review is required.	x	
Section 207/223(f)	Purchase or refinance of existing multifamily rental housing with some, but not substantial, rehabilitation. In 223(f) refinance, if work does not exceed Maintenance, no further Section106 review due to No Potential to Cause Effects determination.	x	
Section 241(a)	Addition or rehabilitation to an existing FHA-insured project. These loans typically involve a significant construction component.	x	
213 Cooperative	New construction, substantial rehabilitation, or purchase of Cooperative Housing.	х	
542(c) Risk Share Program	Credit enhancements for state and local housing finance agencies for new construction, substantial rehabilitation, refinancing, and housing for the elderly.		х
Section 202/811	Capital advance to construct new Housing for elderly and disabled persons.		
Project-based Section 8 Renewals	Capital repairs and rehabilitation of existing projects.	х	
Section 8 Transfer of Project-Based Subsidy	Transfers of rental assistance (including Section 8(bb)) to existing property with no repairs, existing properties with rehab, or new construction.	x	
Mark to Market	Reduces rents to market levels, can include rehabilitation.		
Rental Assistance Demonstration Program (RAD)	This Office of Housing Program converts public housing to private funding that is used to rehabilitate, remove, and/or construct improved public housing.	X*	Х*
* See page 117 of HUD N	otice H-2019-09 PIH-2019-23 (HA) (RAD Notice Rev.4)		

Office of Healthcare Programs Office of Healthcare Programs offers mortgage insurance programs for residential healthcare facilities and hospitals. Projects labelled "LEAN," Office of Residential Healthcare Facilities, Office of Hospital Facilities, Nursing Home, Assisted Living, or Hospital are typically Office of Healthcare Programs projects.

Program	Description	Part 50	Part 58
Section 232 or 242/223(f)	Purchase or refinance—often involves repairs, but not substantial rehabilitation.	х	
Section 232 or 242/223(a)(7)	Refinance of HUD-insured projects with no substantial rehabilitation.	х	
Section 232 or 242 New Construction, Substantial Rehabilitation	Projects under these sections have a significant construction component.	х	
Section 232/241(a)	Addition or Rehabilitation to an existing FHA-insured project. These loans typically involve a significant construction component.	х	

#### **Office of Community Planning and Development**

The Office of Community Planning and Development provides grants to assist states, communities, and non-profit organizations to foster community development, affordable housing, and economic development.

development.			
Program	Description	Part 50	Part 58
Community Development Block Grants (CDBG)	Formula grants to states and localities to support neighborhood revitalization, economic development, and improved community facilities and services for low- and moderate-income persons.		х
CDBG– Disaster Recovery (CDBG-DR)	Grants for activities that support recovery from Presidentially declared disasters.		х
CDBG – Mitigation (CDBG-MIT)	Grants for activities to mitigate future disaster risks in areas impacted by Presidentially declared disasters.		х
Community Project Funding (CPF)	CPF grants are selected through a congressionally- directed application process and provide investment in a wide variety of projects such as housing, homelessness prevention, workforce training, public facilities, parks, resilience planning and other critical infrastructure and services.		х
HOME	Grants to increase affordable housing opportunities for low- and very low-income households.		х
Housing Trust Fund	Grants for the construction, rehabilitation, and preservation of rental homes and for homeownership for extremely low- and very low-income families, including homeless families. Activities must meet Secretary of the Interior's Standards for Rehabilitation. Parts 50 and 58 do not apply.	N/A	N/A

		1	
Emergency Solutions	Assistance to people experiencing homelessness to		Х
Grant	quickly regain permanent housing.		
HOPWA	Grants to provide housing assistance and related		Ň
	supportive services to meet the housing needs of low-		Х
	income persons living with HIV/AIDS and their families.		
Neighborhood	Formula grants for the purpose of providing emergency		
Stabilization Program 1	assistance to stabilize communities with high rates of		Х
	abandoned and foreclosed home. †		
Neighborhood	Competitive grants to states, local governments, and		
Stabilization Program 2	non-profits for the purpose of providing emergency	х	х
	assistance to stabilize communities with high rates of		
	abandoned and foreclosed home. †		
Neighborhood	Formula grants for the purpose of providing emergency		
Stabilization Program 3	assistance to stabilize communities with high rates of		Х
	abandoned and foreclosed home. +		
Pay for Success	Financing model that provides flexibility to local		
	governments to implement evidence-based solutions to	Х	
	end homelessness.		
Continuum of Care	Grants that address shelter and social needs of people		х
(CoC)	experiencing homelessness.		~
SHOP	Self-Help Homeownership Opportunity Program funds		х
	sites for volunteer-based home construction programs		~
Section 108 Loan	Allows local governments to leverage portions of their		
Guarantee Program	CDBG funds into federally guaranteed loans for		х
	economic development, housing, public facility, and		Λ
	infrastructure.		
Veterans Housing	Grants to nonprofit organizations to rehabilitate the		
Rehabilitation and	primary residences of low-income veterans living with	х	
Modification Pilot	disabilities.	~	
Program (VHRMP)			
Youth Homelessness	Grant program designed to reduce the number of youth		
Demonstration	experiencing homelessness.		Х
Program (YHDP)			
+ Legacy program that re	eallocates repaid funds.		
	Office of Lead Hazard Control and Healthy Homes		
The Office of Lead Hazar	d Control and Healthy Homes promotes preventive and cor	rective act	tions to
	y issues in the home environment.		
Program	Description	Part 50	Part 58
Lead-Based Paint	Grants to state, county, tribal, and local governments to		
Hazard Reduction and	identify and control lead-based paint/dust/soil hazards		
Healthy Homes	in privately-owned rental or owner-occupied housing.		
Supplemental Program	Healthy Homes Supplemental grant funds identify and		Х
	eliminate housing-based health and safety hazards in		
	the same homes.		

Healthy Homes	Grants to federally recognized tribes for evaluation and		
Production Program	reduction/elimination of housing-based health and		x
for Tribal Housing	safety hazards in private low-income rental or owner-		^
	occupied housing.		
Older Adult Home	Grants to make safety and functional home modification	v	V
Modification Program	repairs of low-income elderly homeowners.	Х	Х
Healthy Homes and	Grants to identify effective strategies for coordination		
Weatherization	between Lead/Healthy Homes and DOE Weatherization		
Cooperation	programs that maximize program efficiencies and	Х	Х
Demonstration	benefits to occupants.		
Program			
	ce of Public and Indian Housing: Office of Public Housing		
The Office of Public Hous housing properties.	sing supports local public housing authorities that own and	manage p	ublic
Program	Description	Part 50	Part 58
Public Housing Capital	Funding for capital improvements to public housing,		
Funds	with activities that may include maintenance,		х
	rehabilitation, demolition, construction, and leasing.		
Public Housing	Funding for the operation and management of public		
Operation Funds	housing units, including maintenance and rehabilitation		Х
Lead Based Paint	Funding to identify and eliminate LBP hazards in public		
Capital Funds (LBPCF)	housing by carrying out risk assessments, abatement,		х
	and interim controls.		
Moving to Work (MTW)	Funding to increase affordable housing choices for low-		
Block Grant	income families, that may include acquisition, leasing,		v
	operations, rehabilitation, maintenance, demolition,		Х
	and construction.		
Choice Neighborhoods	Planning and Implementation grants to transform		
Initiative (CNI)	distressed public housing into mixed-income		
	neighborhoods, with activities that may include		Х
	disposition, rehabilitation, maintenance, demolition,		
	and construction.		
HOPE VI	Funding to revitalize public housing projects in poor		
	condition into mixed-income developments, largely		
	based on New Urbanism, with activities that may		х
	include planning, design, acquisition, disposition,		^
	rehabilitation, maintenance, demolition, and		
	construction.		
HOPE VI Main Street	Funding to rejuvenate older, downtowns in smaller		
Program	communities (50,000 or fewer) with activities that may		
	include acquisition, rehabilitation, maintenance,	Х	
	demolition, and construction. Must meet the Secretary		
	of the Interior's Standards for Rehabilitation.	1	1

		,	
Project Based Vouchers	PBVs may be placed into existing housing or new		
(PBV)	construction, after a one-time environmental		
	review covering the entire scope has been completed		
	for the aggregated project, which may include		Х
	acquisition, demolition, rehabilitation, maintenance,		~
	construction, leasing, and operations. The		
	environmental review must be completed prior to the		
	HAP or AHAP.		
Tenant-based Section 8	Tenant-based vouchers allow tenants to choose their		
rental assistance	own housing in the private market. Exempt from	NA	NA
	environmental review including Section 106		
Housing Choice	HCV Homeownership Program allows families assisted		
Voucher (HCV)	under the HCV program to use their voucher to buy a		
Homeownership	home and receive monthly assistance in meeting		
Program	homeownership expenses; activities may include		Х
i i o Brain	acquisition and related expenses that result in the		
	transfer of title.		
Energy Performance	Financing technique using energy/utility cost savings		
Contract (EPC) Program	from reduced energy consumption to repay the cost of		
Contract (EPC) Program			V
	installing Energy Conservation Measures, with activities		Х
	that may include rehabilitation maintenance, and		
	construction.		
Rental Assistance	This Office of Housing Program converts public housing		_
Demonstration	to private funding that is used to rehabilitate, remove,	X*	Х*
Program (RAD)	and/or construct improved public housing.		
Section 18 actions,	Section 18 authorizes a PHA to demolish and/or dispose		
including demolition	of public housing with HUD approval if the units meet		
and/or disposition	certain criteria. The review needs to encompass the		Х
	maximum anticipated known project scope, and may		
	include maintenance, rehabilitation, and construction.		
Mixed-Finance Public	Mixed finance developments include public housing		
Housing	units owned in whole or in part by an entity other than		
	a PHA and are generally part of mixed-income		v
	developments; activities may include, acquisition,		Х
	leasing, operations, rehabilitation, maintenance,		
	demolition, and construction.		
* See page 117 of HUD N	lotice H-2019-09 PIH-2019-23 (HA) (RAD Notice Rev.4)	<u> </u>	

Office of Public and Indian Housing: Office of Native American Programs (ONAP)			
The Office of Native American Programs administers housing and community development programs			
that benefit American Indian and Alaska Native tribal governments, tribal members, the Department			
of Hawaiian Home Lands,	Native Hawaiians, and other Native American organization	ıs.*	
Program	Description	Part 50	Part 58
Indian Housing Block	IHBG is a formula grant that provides a range of		
Grant (IHBG)	affordable housing activities on Indian reservations and		
	Indian areas, including housing development,		
	assistance to housing developed under the Indian		v
	Housing Program, housing services to eligible families		Х
	and individuals, crime prevention and safety, and		
	model activities that provide creative approaches to		
	solving affordable housing problems.		
Indian Community	ICDBG provides direct grants for use in developing		
Development Block	viable Indian and Alaska Native Communities, including		
Grant (ICDBG)	decent housing, a suitable living environment, and		Х
	economic opportunities, primarily for low and		
	moderate income persons.		
Indian Home Loan	Home mortgage product specifically designed for		
Guarantee Program	American Indian and Alaska Native families, Alaska		Х
(Section 184)	villages, tribes, or tribally designated housing entities.		
Title VI Loan Guarantee	Title VI is a financial tool that allows federally		
Program	recognized tribes and Tribally Designated Housing		
	Entities (TDHE) to finance affordable housing activities.		х
	Activities may include rehabilitating housing, building		^
	infrastructure, construction community facilities, and		
	acquiring land to use for housing.		
Tribal HUD-Veterans	VASH provides rental assistance and supportive		
Affairs Supportive	services to Native American veterans who are homeless	х	х
Housing (VASH)	or at risk of homelessness living on or near a	^	^
	reservation or other Indian areas.		
* This Section 106 Programmatic Agreement is not applicable to undertakings that are located on or			
that would affect historic properties on tribal trust lands.			